



## Brochure Supplement

Thomas J. Saunders

835 Washington Street Suite 118 Buffalo, NY 14203

25 North Main Street, 2nd Floor, Doylestown, PA 18901

(716) 322-7478

March 5, 2018

This Brochure Supplement provides information about Thomas J. Saunders and is an addendum to the Disclosure Brochure of Winthrop Partners - WNY, LLC and its affiliated firms: Winthrop Partners - EPA, LLC and Winthrop Partners - WPA, LLC (collectively "Winthrop"). Additional information about Thomas J. Saunders is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Please contact the firm's Chief Compliance Officer Deborah A Stauring at 716-322-7478 or at [Deborah.stauring@elleverage.com](mailto:Deborah.stauring@elleverage.com) if you did not receive a Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement.

## Item 2 Educational Background and Business Experience

### Thomas J. Saunders

Born 1961

#### Education

University of Chicago AB 1983

#### Recent Business Background

Winthrop Partners - WNY, LLC	Managing Member & Investment Adviser Representative	11/2015 to Present
Winthrop Partners - EPA, LLC	Co-Managing Member & COO	11/2015 to Present
Winthrop Partners - WPA, LLC	Co-Managing Member & COO	11/2015 to Present
Locust Capital Management	Principal	10/2014 to 05/2016
First Niagara Bank	Group Manager	04/2010 to 10/2014
BNY Mellon Corporation	Sales Director	08/2009 to 03/2010
UWest Financial Services Group, Inc.	Executive Commercial Sales Manager	09/2007 to 01/2009
Willow Financial Bank	Executive Vice President	06/2007 to 12/2008
Brown Brothers Harriman	Senior Vice President	11/1996 to 06/2007

#### Professional Designation

No Professional Designations reported

## Item 3 Disciplinary Information

Winthrop is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Thomas J. Saunders. Winthrop has no information to disclose in relation to this Item.

## Item 4 Other Business Activities

As disclosed in Item 1 (Cover Page), this document serves as a Brochure Supplement to the Firm Brochure for Winthrop Partners - WNY, LLC, Winthrop Partners - WPA, LLC and Winthrop Partners - EPA, LLC (collectively "Winthrop"), affiliated Registered Investment Advisers. Winthrop is required to disclose information regarding any investment-related business or occupation in which Thomas Saunders is actively engaged outside of his role with Winthrop.

Mr. Saunders is not engaged in any other business or occupation (investment-related or otherwise) beyond his capacity with the three Winthrop entities.

## Item 5 Additional Compensation

Refer to the Other Business Activities section above for disclosures on Mr. Saunder's receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Winthrop's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Deborah A. Stauring, Chief Compliance Officer of Winthrop, reviews the advisory activities of Thomas J. Saunders on behalf of the firm. Deborah A. Stauring can be reached at (716) 322-7478. Winthrop supervises its personnel to ensure they are suitable to work with clients and provides services and advice consistent with each particular client's investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Winthrop periodically reviews the advisory activities of Thomas J. Saunders, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Thomas J. Saunders.