

LIFE TRANSITION GOALS

Tell us about the things that are most important to your life...the things that concern you the most...the things you do not want to have to worry about. We want to understand your financial goals in terms of what they mean to you. In addition to your longer term goals, is there anything you would like to do now, or sometime in the next five years?

Values are the foundational principles and assumptions a person believes to be true. Values govern our basic choices during our lives. In identifying your values first, you can interpret their financial implications. Rate each of the following statements from "V" Very Important, "I" Important, "S" Somewhat important, or "N" Not important/Not applicable.

PERSONAL & FAMILY

- _____ Continuing the development of my relationship with my family
- _____ Spending on special gifts for family and friends
- _____ Maintaining regular communications with family members
- _____ I wish to develop good family memories
- _____ Providing financial need for aging parent(s)
- _____ Taking care of a special needs child
- _____ We will have a special family event soon
- _____ Preparing for college
- _____ Facing an empty nest
- _____ During retirement, I plan to continue to expand my education in various areas of interest
- _____ I like spending money on things that are beautiful to me
- _____ During retirement, I plan to redecorate our home
- _____ A network of friends is very important to me

WORK/CAREER

- _____ Though I will retire, as long as my health holds up, I plan to work at least 20 hours per week
- _____ I am currently contemplating a career change
- _____ I wish to take a sabbatical or a leave of absence
- _____ Phasing out work/simplifying my work life
- _____ Retiring early to enjoy life and family

RECREATION/ENTERTAINMENT

- _____ I plan to take up a new sport during retirement or expand my activity in a current sport
- _____ I regularly spend money on recreation and entertainment
- _____ I plan to travel more during retirement to enrich my life

COMMUNITY/CHARITABLE

- _____ I plan to continue giving even when I am on a fixed income.
- _____ I hope to increase the percentage of money I give
- _____ I wish to start/maintain a gifting program for children/grandchildren
- _____ I'd like to create a funding vehicle
- _____ I will use estate assets for charitable giving

FINANCIAL/INVESTMENT

- _____ I plan to downsize housing or I am now selling a house to downsize
- _____ I am concerned about my debt level
- _____ Reviewing investment philosophy and risk profile
- _____ Receiving an inheritance or financial windfall
- _____ Selling some assets
- _____ I plan to be actively involved in investing my money
- _____ I want to leave a significant amount of material wealth for those I leave behind when I die